



GRANT MANUAL

INDONESIA

Version February 2018



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1. A letter from.....

"We pledge," says the UN, in its manifesto on the Sustainable Development Goals, "that no one will be left behind [in the collective journey to end poverty and inequality]." In addition, the most marginalised will be prioritised: "We will endeavour," the UN pledges, "to reach the furthest behind first."¹

It is in this context that the Netherlands Ministry of Foreign Affairs ("the Ministry") designed a special fund, called Voice, with the objective to support the most marginalised and discriminated groups in their efforts to exert influence in accessing productive and social services and political participation. Voice forms an integral pillar of the Ministry's overall Dialogue and Dissent policy framework² which aims to strengthen the capacity for lobby and advocacy of civil society organisations in low- and lower-middle-income countries to allow for participation in mainstream development processes. Voice is executed by a consortium of Oxfam Novib and Hivos which coordinate the programme at national level in the ten Voice focus countries³:

Hivos Southeast Asia is responsible for Voice Indonesia. Overall Voice targets five groups, although it depends on the country's contextual analysis which groups will be served in each Voice focus country:

-  People living with disabilities;
-  Women facing exploitation, abuse and violence;
-  Age-discriminated vulnerable groups, notably the young and elderly;
-  Indigenous groups and ethnic minorities.

Following Indonesia's contextual analysis, Voice focuses on the intersections and linkages between these groups by looking closely at the disability status, gender identity, sexual orientation, age, ethnic, and belief.

These groups are often the hardest to reach. Therefore, innovative approaches to strengthening capacity of lobby and advocacy as well as empowerment are essential. A linking and learning process encourages sharing of lessons learned, and provides a forum for mutual learning and empowerment of the most marginalised and discriminated groups. Voice is initially a five-year programme, for which a total of €50 million is available for programme-, grants-, management- and linking and learning costs. This includes a total of €35 million available for grants, the Voice 2016-2020 Fund.

Most—if not all- the information is available via www.voice.global including all Calls for Proposals and relevant information regarding the grantees.

¹ <https://sustainabledevelopment.un.org/post2015/transformingourworld>

² <https://www.government.nl/binaries/government/documents/parliamentary-documents/2015/07/01/voice-the-accountability-fund-and-the-participation-of-civil-society-organisations-in-theme-based-calls-for-proposals/letter-to-parliament-on-voice.pdf>

³ The Voice focus countries are: Mali, Niger, Nigeria, Kenya, Tanzania, Uganda, Indonesia, Philippines, Laos and Cambodia.

This grants manual is meant for (potential) grantees to help them decide on whether and how to make a grant and what the reporting requirements are. As with all documents in Voice it is a 'living' document; hence if you have any feedback on how Voice can improve its grant-making, you are very welcome to do so via indonesia@voice.global

Thank you for joining us on this exciting journey!

The Voice family

2. What types of grants are awarded?

The Voice grant facility is designed to support informal groups as well as formal organisations and networks. The applicant however, needs to be legally registered or accredited and have a bank account in their name. The Grant types are as follows:



Empowerment grants: Targeting (informal) groups or organisations to raise awareness, to work on leadership skills, build confidence and skills and work against discrimination of the most marginalised groups. Smaller grants with a minimum of 12 months and a maximum of 24 months, which are accessible for informal groups⁴ through for example a partnership with formal organisations. Empowerment grants are available from €5,000 to €25,000.



Influencing Grant: Targeting organisations and networks to strengthen their influencing, lobby, and advocacy capacities and amplify voice of marginalised and discriminated groups through new policies or proper implementation of current laws. Influencing grants that are country specific are available from EUR 25,000 to EUR 200,000 (approx. IDR 350,000,000 to IDR 2,800,000,000), with time period minimum 18 months and maximum 36 months.



Innovate and Learn Grant: For groups and organisations to test, review and/or scale up new approaches to address the marginalisation, discrimination, and exclusion experienced by Voice target groups. Innovation and learning grants are available from EUR 5,000 to EUR 200,000 (approx. IDR 70,000,000 to IDR 2,800,000,000), with time period minimum 12 months and maximum 24 months.



Sudden Opportunity Grant: Creating flexibility on urgent circumstances to undertake collective action to address specific unanticipated opportunities to influence policy or deal with a threatened reduction of civil space which discriminate, marginalise, exclude, and criminalise one or more of the Voice target groups in one or more of the impact themes in national level. Grants responding to a sudden opportunity are available from EUR 5,000 to EUR 200,000 (approx. IDR 70,000,000 to IDR 2,800,000,000), with time period minimum 6 months and maximum 12 months.

For each grant modality, there are different approaches related to making applications, assessing grants and reporting against grants. Empowerment grant and smaller grants designed to address sudden opportunities is more flexible and contain fewer requirements. Influencing and Innovation and Learn grants contain more requirements to mitigate risk and increase accountability. We also strongly urge you to review the Frequently Asked Questions available via: <https://www.voice.global/f-a-q/>

Voice stands out from other grant-making programmes by developing a grant application and reporting process that is accessible, user-friendly, and innovative adapted to the target groups and grant type.

⁴ Groups which emerge naturally due to the response and common interests of the members of an organisation who can easily identify with the goals or independent activities of the group.

3. How to make an application

Steps	Task	Activities
<i>Step 1</i>	Preparation for calls for proposals.	Based on country contextual analysis and existing grantees, Voice Indonesia provides guidelines for themes and subjects that the Call for Proposals could focus upon. This process is repeated on an annual basis for each subsequent call for proposals. We also use an analytical tool using PowerBi to analyse the applications received in Smartsheets.
<i>Step 2</i>	Calls for proposals	Advertising the Call for Proposals as approved (at least 6-week for applicants to respond). Outreach and communication activities are designed to reach the target groups and are announced on website and Voice social media.
<i>Step 3</i>	Support	The application process should be viewed as a capacity building exercise and applicants should receive useful feedback after the application is assessed. Hivos Southeast Asia organises information session on the proposal using creative settings.
<i>Step 4</i>	Screening	Voice is designed as a competitive application-based grant-making programme. Therefore, for an organisation to receive funding, it must submit an application, which is reviewed using an open and transparent assessment method. For Indonesia, Empowerment grant applications can be submitted in Bahasa Indonesia or English and in addition to submission of a written proposal, through audio and/or visual. All other proposals need to be submitted in English. Voice screens proposal applications against the eligibility and criteria requirements (six weeks for screening with extension depending on number of applications received). Apart from screening on the basis of eligibility, preliminary assessment of applicant capacity is made. Site visits may be undertaken as part of the screening process to ensure that applicants have minimum governance and accounting protocols and/or to review existing programming.
<i>Step 5</i>	Selection	Once the screening is complete recommendations for grant awards and capacity development are submitted to the Hivos Southeast Asia for approval. Each short-listed application is rated and ranked using the selection criteria provided below.
<i>Step 6</i>	Agreements	Once the project is finalised, Voice enters into a Grant Agreement with successful organisations. The Grant Arrangement applies for the period of time required to complete the project. The time plan and approved budget are an integral part of the Grant Agreement. The Grant Agreement outlines the requirements under the grant and other terms and conditions.

When should your organisation submit an Application?

Calls for proposal is published annually at a minimum via www.voice.global and www.facebook.com/voice.global.online. Applications for Empowerment grant and Innovate and Learnt grant will be accepted on a rolling basis while Influencing grant will be only accepted according to a strict deadline. The rolling grants have two review cycles a year. This means that proposals submitted before the deadline of the first review cycle are reviewed during that cycle, anything after April 29, for example, is reviewed in the next cycle.

This means that proposals can be submitted at any time via online application in www.voice.global but will be reviewed according to the following schedule.

Type of Grant	Submitted Before	Response by	Contract Signing
Empowerment Grant	Review Cycle 1: 20 April 2018 5PM (GMT+7)	Review Cycle 1: 31 May 2018	Review Cycle 1: +/- August 2018
	Review Cycle 2: 14 September 2018 5PM (GMT+7)	Review Cycle 2: 26 October 2018	Review Cycle 2: +/- January 2019
Influencing Grant	20 April 2018 5PM (GMT+7)	31 May 2018	+/- August 2018
Innovation and Learn Grant	Review Cycle 1: 20 April 2018 5PM (GMT+7)	Review Cycle 1: 31 May 2018	Review Cycle 1: +/- August 2018
	Review Cycle 2: 14 September 2018 5PM (GMT+7)	Review Cycle 2: 26 October 2018	Review Cycle 2: +/- January 2019
Sudden Opportunity Grant	Open all times.	Pitch your idea by sending the concept note to indonesia@voice.global	

This means that Hivos Southeast Asia has at least 6 weeks to review all proposals against eligibility and project criteria. After six weeks the rejected applicants receive a response. Those proposals that are taken to the final stage (“*organisational assessment*”) have up to 10 weeks more for proposals and budget revisions, organisational assessment, donor checking, and contracting. All applicants are notified of the outcome of their application, but only those that have undergone a project check can receive detailed feedback.

Regarding outreach, the country team conducts targeted outreach corresponding with the above cycle.

Who can make applications?

Applications can only be submitted by organisations which possess national legal registration and a bank account in the applicant's organisation name. Organisations can independently submit a grant application or form part of a consortium in a joint application. A consortium is a cooperative of two or more civil society organisations, which implements a joint, integrated project in which all parties contribute to the total. They draw up a cooperative agreement for this purpose. In this case, a consortium representative (known as the 'lead party') submits the grant application on behalf of the consortium as a whole. Only the lead party needs to be legally registered, not all of the consortium members. If the application is granted, the lead party is responsible for implementing the consortium's project. Applicants may form a partnership with other non-for-profit organisations or social enterprises to implement the project or certain of its components, however, such partnerships must be identified within the grant application. Applications may also include activities to strengthen the capacity of the organisation, please refer to the relevant sections below.

Where should we send our application?

Applications for grants from Voice must be submitted using the model application formats as stipulated and made available by Voice.⁵ Applications should be complete and without reservations and submitted through the online system in www.voice.global. Please only submit the project proposal and the budget as attachments as the maximum file size of documents to be attached is 10 MB.

On the web-form it is recommended to tick the Box "Send me a copy of the responses." to assure you that the application has indeed been submitted. Upon completion of the selection process we send you an e-mail to inform whether your application is successful. We only provide detailed feedback for the shortlisted proposals that are rejected.

What if you have questions about the process?

Questions about applying for a Voice grant may be submitted by email or during consultative sessions. Before doing so we urge you to review the Frequently Asked Questions via <https://www.voice.global/f-a-q/> to see whether your question has already been responded to. If it hasn't please contact cambodia@voice.global. We will strive to give a quick response and if relevant will also upload your question to the website.

When are funding decisions made?

If you are applying for a Voice grant, Voice decides upon your application within six weeks of the deadline according to the schedule above. This doesn't apply for Sudden Opportunity grant which follows a different process (mentioned in the schedule as well). Please note that an organisation can apply for multiple grants

⁵ The template of application format can be downloaded from <http://www.voice.global/indonesia>

but can only be contracted for one at a time. Please note this only applies to the lead applicant; you can be a co-applicant in a reasonable number of proposals.

What makes a successful application?

The Voice Indonesia Country Team has developed specific focus areas based upon the country contextual analysis. These need to be considered when developing an application. Beyond this guidance, the following general factors are provided as a guide to inform potential applicants of whether or not their application is focused on issues that are encouraged and therefore likely to be considered:

- Proposed project corresponds with the policy advocacy focus area (for Influencing grant) or example of learning agenda (for Innovate and Learn grant).
- The targeted groups are more than two, in order to ensure the intersectionality in the programme. For example: young women with disability, the elderly of minority group, etc.
- Activities support and compliment the Voice impact themes and target groups.
- The project's geographical coverage(s) is only preferred the following provinces in Indonesia: DKI Jakarta, West Java, Central Java, East Java, West Nusa Tenggara, East Nusa Tenggara, South Sulawesi.
- Communication and engagement approaches contribute to awareness, influencing understanding, cooperation and collaboration.
- Demonstration of links and/or coordination with relevant organisations, communities and government.
- Promotion and participation of women at all levels in activity implementation.
- Demonstration that benefits created by the project will be properly or widely disbursed.
- Activities that demonstrate long-term benefits for constituents and/or marginalised and discriminated people.
- Demonstration of skill and experience in the community/civil society/sector and geographic area.
- Demonstration of project management understanding and approach to grant use.
- Promotion of inclusive and accountable transparent processes.
- Co-contributions proposed by organisation and/or Voice target groups i.e. labour, office, materials and funds.

Unsuccessful Factors:

- Application does not meet criteria.
- Application not in Voice format or key questions left unanswered.
- Activities with an unreasonable high proportion of administrative support costs and asset acquisition.
- Funding for freight, paid transporting costs to have big good transported.
- In general, purchase of motor vehicles, land or buildings.

- Lack of competitive costing detail, and charges for application preparation – other than for Seed Funding.
- Funding of commercial services, investment or other commercial activities.
- Over emphasis on staff training.

Linking and Learning

The Linking and Learning component provides an exciting opportunity to link to other stakeholders and to learn together. Voice promotes and supports linking and learning throughout, also within the grants. All grantees are encouraged to include linking and learning activities in their proposal and ways of working. Therefore, Voice invites you:

- To plan for involvement of the target group(s) and main stakeholders in all project phases and relevant decision making.
- To develop a change agenda for the project in particular and if relevant, for your organisation in general.
- To develop your own learning agenda or learning questions.
- To join one of the existing and/or new groups or events focusing on shared learning and innovation that will work on different learning questions⁶.
- Propose innovative approaches or solutions that can be tested and if successful, be scaled up.
- To document all the learning and changes happening within your project.
- To engage in gathering stories of change as part of the monitoring and evaluation of the project.
- To engage actively with other grantees and the Voice team to overcome challenges and to learn from successes but even more, from 'failures' and challenges.
- To specifically budgeting a travel cost for Annual Linking and Learning event in Jakarta for five days in around April 2019.

⁶ Internationally this is known as a Community of Practice.

4. How will your application be assessed?

Voice uses a 3-step assessment process. These are:

1. **Eligibility or threshold criteria:** minimum standards that all applications must meet. If an application does not meet all of the threshold criteria, it is rejected.
2. **Project check:** criteria relating to the quality of the project proposal.
3. **Organisational check:** criteria relating to the quality of the applicant organisation or the lead party of the consortium.

For the small grants (Empowerment grants up to EUR 25,000) a lighter/ adapted version of these types of criteria apply.

Step 1: Eligibility or Threshold Criteria

The eligibility criteria are minimum standards that all applications for Voice grants must meet. The criteria are as follows:

- a. Applications can only be submitted by organisations which possess national legal registration and a bank account in the applicant's organisation name –even if just opened for the purpose of Voice.
- b. The project needs to address one or more of the impact themes:
 - improving access to (productive) resources (finance, land and water) and employment
 - improving access to social services, health and education in particular
 - fostering space for political participation
- c. The project benefits **two** or more of the following target groups:
 - People living with disabilities
 - Women facing exploitation, abuse, violence
 - Age discriminated vulnerable groups notably the young and elderly
 - Indigenous groups and ethnic minorities
- d. As a sudden opportunity or threat cannot be influenced by one organisation or entity exclusively, an application to address a sudden opportunity must include collective actions⁷ through a consortium or a network.
- e. The project's geographical coverage(s) is only preferred the following provinces in Indonesia: DKI Jakarta, West Java, Central Java, East Java, West Nusa Tenggara, East Nusa Tenggara, South Sulawesi.

⁷ Behaviour or actions of a group of individuals or organisations working towards a common goal. When individuals or organisations engage in collective action, the strength of the group's resources, knowledge and efforts is combined to reach a goal shared by all parties.

- f. The applicant (or a consortium member if the application is made by a consortium) must be based in Indonesia.
- g. The applicant or the lead party substantially works with the most marginalised and discriminated groups in society (particularly those of the Voice target groups) to build their capacity to lobby or advocate for their interests or to have their voices heard. For organisations with broader objectives it is also possible to demonstrate this on the grounds of the organisation’s track record.
- h. The applicant (and all co-applicants, if the application is made in consortium) is an organisation which has core values similar to the objectives of Voice, being the equal treatment and equal rights of every human beings, particularly regardless of gender identity, race, colour of skin, nationality or ethnicity, religious belief, disability, sexual orientation, social class, and age.
- i. The applicant, lead applicant and/or co-applicant is not a (Consortium or Alliance) Partner of the Netherlands Ministry of Foreign Trade and Development Cooperation Strategic Partnership within the policy framework of “Dialogue and Dissent”.⁸
- j. The applicant (or lead applicant in consortia) must demonstrate that, as of 1 January 2018, the total annual income of applicant organisation is maximum EUR 500,000 or approx. IDR 7,000,000,000.
- k. For Influencing Grant and Sudden Opportunity Grant, the applicant must demonstrate that, as of 1 January 2018, grants awarded from Voice derive no more than 50% of the total annual income of the applicant organisation. The applicant can demonstrate this is the case on the basis of income over 2016-2017 and/or awards and grants it has secured for future years. If the case of a consortium, annual income of all members may be combined. This means, for example, if the lead organisation derives more than 50% of its annual income from Voice, the annual income from other consortium members may be included. How to calculate the 50%:

Application budget per year

Divided by

Annual income (for one year or average of two years) PLUS Application budget per year

- l. A grant application must be for funding of one of the following grant modalities and must apply for an amount and duration corresponding accordingly:

Grant Modality	Minimum Amount (EUR)	Maximum Amount (EUR)	Minimum Length (months)	Maximum Length (months)
Empowerment	5,000	25,000	12	24
Influencing	25,000	200,000	18	36
Innovate & learn	5,000	200,000	12	24
Sudden Opportunity	5,000	200,000	6	12

*Exchange rate is EUR 1 = IDR 14,000.

⁸ The list of “Dialogue and Dissent” consortium partners: <https://www.government.nl/latest/news/2015/01/30/ploumen-supports-innovative-partnerships-with-development-organisations>

- m. The proposed grant cannot fund activities undertaken in the past.
- n. The proposed grant does not relate to the funding of commercial services, investment or other commercial activities.

Step 2: Project Check

If the quality of the project is insufficient, the application is not considered. The project check assesses the quality of the project on the basis of the following generally quality criteria and with specific criteria listed for each type of grant:

General Criteria

1. *Context analysis*: the extent to which the proposal, especially the defined problem and objective, reflects context analysis findings.
2. *Project Success analysis*
 - The extent to which the project provides a description of outcomes, outputs, proposed activities and resources, and a clear link has been established between the outputs to be achieved and the resources necessary to do so.
 - Risks, monitoring and corrective action: satisfactory risk management is in place, consisting of a satisfactory risk analysis and a satisfactory system for monitoring and corrective action.
3. *Value-for-money*: the degree to which the project will efficiently utilize resources to undertake the activities and attain the outputs.
4. Please note that in case INGOs are invited to join as a technical partner by the lead applicant, only direct activity costs can be included in the budget and no management costs or costs of their HQ.

Empowerment grants

In addition to the general criteria, Empowerment grants are assessed with the following criteria:

1. *Target Group Representation*: the degree to which the project and organisation involves, represents and effectively raises awareness, develops transformative leadership, builds confidence and skills of the target group.

Influencing grants

In addition to the general criteria, Influencing grants are assessed with the following criteria:

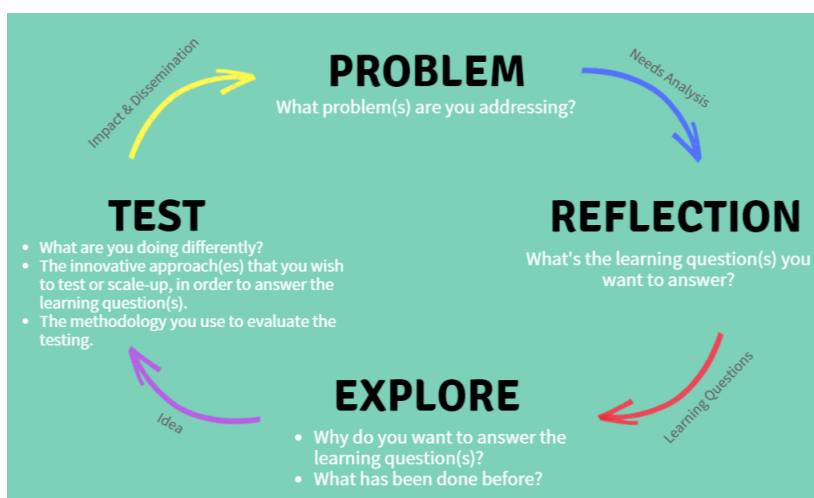
1. *Target Group Representation*: the degree to which the project and organisation involves, represents and effectively amplifies voice and builds the influence of the target group(s).

2. *Advocacy and Lobby*: the degree to which the project supports target beneficiaries to influence stakeholders to implement new procedures and policies and create new and unexpected connections and partnerships.

Innovate and Learn grants

In addition to the general criteria, Innovate and Learn grants are assessed with the following criteria:

1. *Target Group Representation*: the degree to which the project and organisation involves and represents the Voice target groups.
2. *Innovation and scalability*: the degree to which an idea is new, given the context, and lead to the empowerment and amplification of one or more Voice target group(s). Includes an analysis of the applicants' capacity to implement, scale up and sustain.
3. *Learning cycle*: the degree to which the intervention logic can be translated to the whole learning cycle as following.
 - **Problem**
What problem(s) are you addressing?
 - **Reflection**
What's the learning question(s) you want to answer?
 - **Explore**
Why do you want to answer the learning question(s)?
What has been done before?
 - **Test**
What are you doing differently?
What is the innovative approach(es) you wish to test or scale-up, in order to answer the learning question(s)?
What methodology will you use to evaluate the approach?



Sudden Opportunity grants

1. *Target Group Representation*: the degree to which the project and organisation involves and represents the Voice target groups.
2. *Meeting Opportunities*: the degree to which the project analyses and responds to a new opportunity to raise awareness, develop transformative leadership, build confidence and skills of one or more Voice target groups and/or amplify voice and build the influence of the target group(s)

Step 3: Organisational Check

For organisations to be awarded a grant within Voice (except Empowerment grants), the organisational assessment needs to be satisfactory in terms of quality. The organisational check must be completed satisfactorily and its entirety. Deficiencies found through the organisational check are communicated with the applicant to develop a capacity development plan that may be funded by the Voice grant. Organisations which are determined to be high risk as a result of the organisational check are ineligible for funding.

The organisational assessment is a review of the following: Governance and Integrity, Financial Management and Procurement, Human Resources and Program and Project Management. The scope of organisational assessment is dependent upon the type of grant applied and there are specific ones for Voice developed.

Except for Empowerment grants, the organisation should be able to provide Voice the required supporting documents: organisation national registration certificate/ legal status, organisation annual budget/ income, organisation annual narrative and financial reports for the last two years, organisation audit report for the last two years, financial and administrative SOPs, bank account details, board's minutes of meeting, etc.

The organisations should be open and motivated to learn and interested in the key Voice principles. The answers to their self-assessment could be discussed. The Voice country team could compare the organisation's assessment with their impressions and agree on possible capacity development needed to be included in the grant.

The assessment process is a moment to promote inclusion of linking and learning elements in the grant proposals. When needed, the Voice country team can organise a (brainstorm) session with several grantees to come up with areas of common interest and possible activities to bring people together around a common aim or theme. It is also an opportunity to promote the inclusion of creative actions in the proposals.

Empowerment Grants

Applicants for Empowerment grants are assessed with the following criteria:

1. *Governance and Integrity*
 - The applicant has a clear purpose and acts on decisions collectively.
 - The degree to which the leadership is respected by the target group.
2. *Financial Management:*
 - The quality of financial and administrative management is adequate to safeguard payments and purchases.

Influencing Grants and Sudden Opportunity Grants

In addition to organisational assessment criteria of applicants of empowerment grants, applicants of influencing or sudden opportunity grants are assessed with the following criteria:

1. *Governance and Integrity*
 - The applicant has a coherent and realistic strategic plan. (review of the quality of formulation of objectives, intended results and indicators; explanation of strategic choices)
 - The applicant is accountable to and communicates effectively with its primary constituents/beneficiaries. The applicant (management) encourages and supports internal learning and reflection processes.
2. *Procurement and Finance Management*
 - The quality of financial and administrative management is adequate to ensure financial accountability. (Budget, funding plan, financial management, financial report)
3. *Programme and Project Management*
 - The applicant has an appropriate monitoring and evaluation process (documentation & data collection, involvement of stakeholders, quality of analysis and learning)
4. *Human Resources*
 - The applicant has an appropriate monitoring and evaluation process (documentation & data collection, involvement of stakeholders, quality of analysis and learning) and uses it for accountability and learning purposes.
 - The number, composition and expertise of staff is adequate in view of the applicant's objectives and programmes.

Innovate and Learn Grants

In addition to organisational assessment criteria of applicants, Innovate and Learn Grants are assessed with the following criteria:

1. Governance and Integrity

- The applicant has a coherent and realistic strategic plan. (Context and problem analysis; Theory of Change; quality of formulation of objectives, intended results and indicators; explanation of strategic choices)
- The applicant is capable to mobilize sufficient financial resources, and (where relevant) non material resources from members/ supporters.
- The applicant is internally transparent and accountable. (Relations between staff, direction and board; quality of decision-making process)
- The applicant maintains relevant institutional relationships with external stakeholders and is seen as credible and legitimate.
- The applicant is capable to maintain consistency between ambition, vision, strategy and operations. The management is able to deal strategically with external pressure and conflicting demands.
- The applicant formulated objectives with regard to the position of women and issues of gender equality.

2. Procurement and Finance Management

- The quality of financial and administrative management is adequate to ensure financial accountability and transparency. (Budget, funding plan, financial management, financial report)
- The organisation maintains annually audited accounts

3. Programme and Project Management

- The applicant has an appropriate monitoring and evaluation process (documentation & data collection, involvement of stakeholders, quality of analysis and learning) and uses it for accountability and learning purposes.
- The applicant (management) responds adequately to trends and changes in the context and uses up-to-date strategies and knowledge.

5. If your application is not successful

The applicant receives written notification if the organisation is not approved for funding. Detailed feedback is only given to shortlisted proposals (project check) -where possible. As part of the grievance process you have an opportunity to seek clarification from Hivos Southeast Asia. Often, this feedback process can assist an unsuccessful applicant to identify weaknesses in their application, to re-think their proposal and possibly to re-apply for the next call for proposals.

6. After a grant is awarded

What happens if my organisation is approved for funding?

Voice invites you to discuss and finalise the project. This provides you with an opportunity to review any changes that may have taken place since writing the application. It also provides an opportunity for Voice and your organisation to review the project risk and make small revisions to the activities, timeline and outputs as needed. From the review of the application, Voice may also have some questions or concerns that we ask your organisation to review and consider.

The Grant Agreement

Once the project is finalised, Voice enters into a Grant Agreement with your organisation. The Grant Agreement is based on the authority provided by the Netherlands Ministry of Foreign Affairs to Hivos Southeast Asia.

Grant Agreements are concluded with organisations for well-defined and described proposal. The Grant Arrangement applies for the period of time required to complete the project/research. The time plan and approved budget are an integral part of the Grant Agreement.

The Grant Agreement outlines the requirements under the grant and other terms and conditions that your organisation needs to adhere to. The Grant Agreement covers among other things performance standards and terms of release of funds that may be in tranches. A copy of the Grant Agreement is provided by Voice. The person with signing authority in your organisation needs to sign the Grant Agreement prior to proceeding with financial management arrangements. Projects approved for funding are normally required to begin within one month of the signing of the Grant Agreement (execution).

An *organisational capacity assessment* is concluded with grantees, and plans to improve a grantees capacity can be incorporated within the final Grant Agreement. The Grant Agreement applies for the period of time required to complete the activities. A time plan and financial projection are an integrated part of the Agreement. Capacity Development Agreements may be implemented and managed by the Voice Consortium at request of the grantee.

General Technical Assistance and Capacity Development in respect of project management, administration, and accounting, among others, always form an integrated part of any agreement involving support from Voice.

How the Grant is Monitored & Evaluated by Voice?

Voice management and staff monitor the integrity and performance of all grants approved. Voice conducts site visits, financial audits, and compliance inspections on a periodic basis.

For all projects, recipients are required to safe keep financial records and receipts for inspection as needed. All grantees are subject to periodic and regular review and unannounced spot-checks by Voice. Annual audits are required of all grants valued at more than EUR 100,000. The costs of the audit need to be included in the budget proposal. Apart from this, a number of other grants are subject to audit, the selection of which is based upon recommendations of the in-country Voice team.

How does your organisation communicate with Voice?

The Voice country and coordination team wants you to succeed. If your organisation has any questions or concerns about the Grant Agreement or how to report, please do not hesitate to contact us. We are happy to answer your questions and help you with fulfilling the requirements under the Grant Agreement. Your first contact is outlined in the Grant Agreement. You are also welcome to contact us to talk about improving your organisations ability (operational, management and technical); the Voice team may have some suggestions on this.

If your organisation is not able to meet the requirements under the Grant, then you must contact Voice. The Voice team tries its best to work with you to come up with solutions to help your organisation move forward and learn from setbacks. In some cases, especially as a result of events that are not in your control, you can request a modification of the Grant. As soon as you think that circumstances may require a change to your Grant, please speak to your Voice focal point to receive guidance before submitting the request.

How does your organisation report to Voice?

Your organisation is asked to report to Voice about the implementation of your grant in terms of milestones and finances. To this end we develop two different versions to capture progress on your project milestones: a light one, for reporting periods that are less than one year (most likely every 6-month) and a “plus” one, for your annual and end-of project report. At minimum Voice requires six-monthly reporting.

Please find here a brief summary of the information we like to capture with each type of reporting template:

A. Milestone Light

In the Milestone light version, we focus on capturing the following information:

- External environment: Any important changes happening in the local, national, regional, or global context (e.g. changes in existing legislation) that relates to and impacts your project and target group(s).
- Progress against milestones: Milestones reached in this reporting period and budget allocation to those, planned milestones for the next reporting period, changes to original work-plans and reasons therefor.
- Engagement with your project stakeholders: What type of activities are you using to engage with the target groups of your project? Which one(s) of these activities did you find particularly successful? Have you encountered any difficulties reaching out to/ working with those groups?
- Risk analysis and mitigation strategies
- Project learning and innovation: What have you learnt from the implementation of your project thus far? How are you documenting your learning? If the learning is documented online, please list links and websites. What are you and/or marginalised and discriminated groups (your project beneficiaries) doing differently, as a result this project? Voice project milestone report? Has Voice enabled you (and/or other organisations working with you as part of this project) to use new ideas and/or innovative approaches to your work? If yes, how?
- Project results: Activities, outputs in this reporting period, and expected outcomes linked to those.
- Your Monitoring and Evaluation approaches and links to the Voice global MEAL framework: Which one of the 3 outlined global Voice outcomes is your project contributing to?

B. Milestone Plus

In the Milestone plus version we focus on all of the above points, in relation to the relevant reporting period plus:

- Progress against your learning questions: How have you approached them and who have you worked with around each one?
- We outline more detailed outcome-level questions that are linked to empowerment (creating safe spaces, building confidence and skills), alliance building and influencing instruments.
- Change stories: At the end of your project, we would like to ask you to think about narrating a story that depicts the change on an individual or a group that your project has contributed to. The way that you will narrate the story is completely open: It could be in a narrative form, audio, video, etc. We have classified the categories of change that we would like to zoom in, on the report itself. Our global [Monitoring and Evaluation officer](#) is at your disposal for any questions in relation to the stories.

In general, to ensure learning from the process is not lost, grantees should reflect on the learning journey of the programme. Based on the organisation's change agenda and learning questions, grantees are invited to participate in local learning events or national and global communities of practice. Grantees contribute with their project experiences to a process of learning about transformational change based on practical experiences. We also suggest, a project based reflection. We recommend to conduct an evaluation with the organisation, key target group and stakeholders on the challenges faced and strategies developed to overcome these; on the engagement process of all stakeholders. It can be useful to write up your thoughts on the process as well as the outcomes and any thoughts you have regarding the socialisation of the knowledge/learning products and how they could be used more widely in Voice. The Voice Country Team is able to support and provide guidance.

Financial reporting also depends on the size and the life span of the grant and is therefore included in the grant agreement. Financial reports need to be delivered in the template provided.

Your organisation maintains a separate ledger for the Voice grant that includes all project expenses. Based on this ledger you calculate the project expenses per budget line, register this in the appropriate column in the template, and calculate in a separate column how much money is left for that budget line and percentage. You may shift funds from one budget line to another, but only to a maximum of 10% and you always inform the Voice national team about such changes. If you require changes over 10% you have to obtain approval of the Voice team beforehand.

Supporting documents, that prove that you made the expenditures, need to be kept on file for a period of 10 years after the project ended for a possible audit. More details on which supporting documents you need to keep on file are given to you by the Voice Country Team.

7. Fraud and Corruption

Fraud is described as “dishonestly obtaining a benefit by deception or other means”. The Voice has a “zero-tolerance” to any forms of fraudulent or corrupt activity. This means that Voice, supported through Oxfam Novib and Hivos policy will not condone any form of fraudulent or corrupt behaviour in its operations such as payment of bribes, facilitation payments or “hidden” commissions for any reason. Organisations receiving Voice funding support shall have mechanisms in place to manage fraud including prevention, detection, investigations and reporting.

If you suspect irregularity, please report to the responsible Regional Director and/or the Voice Programme Manager globally who will immediately report to the Ministry of Foreign Affairs in the Netherlands. Voice and the Ministry reserve the right to conduct investigations into cases of suspected fraud. All suspected cases of irregularity are handled and investigated in a confidential, prompt and professional manner.

The Regional Director resolves the issue within two-week of receiving the grievance. If the grievance is not resolved in time or to the satisfaction of complainant, the Programme Manager initiates an investigation of the grievance. In instances where the Programme Manager does not resolve the grievance satisfactorily, it may be elevated to the Voice Steering Committee who has the authority as per the Dutch Staatscourant decision dated December 2, 2016 to make final decisions with respect to a grievance or complaint.

We also refer you to the Whistleblower policy available via www.voice.global.

Annex I: Policy Advocacy Focus Area (Influencing Grant)

The focus priority areas of policy advocacy in Indonesia for each category of target groups that are fundable under the Influencing Grant shall be as follows. However, it should be highlighted that we encourage and prioritise intersectionality among the target groups that the applicants' ability on taking minimum two target groups in their influencing proposals is a plus. It doesn't mean two different influencing agendas, but thoroughly recognising the identity of the target groups based on their disability status, gender identity, age, ethnicity, and sex orientation.

a) Persons with disabilities

- Influencing or monitoring the implementation of Law Number 8 of 2016 on PwD.
- Influencing policies related to development of reasonable accommodation requirement for persons with disabilities in relation to infrastructure (including roads, transport, and building infrastructure) either at national or provincial level.
- Inclusive voting system that integrates the needs of persons with disabilities.
- Access to affordable, accessible, quality, and appropriate health care, in particular SRHR for young people with disabilities.
- Access to basic education as well as tertiary, vocational, and technical training.

b) Women facing exploitation, abuse and violence

- Women/girls facing exploitation through forced prostitution or forced exploitative labour or human trafficking.
- Girls facing female genital cutting.
- Girls facing early forced marriages.
- Women facing physical or sexual violence (gender based violence) or women exposed to physical violence and sexual violence within the context of ethnic or community based conflicts and clashes, broken homes, or informal settlements in relation to RUU P-KS (Sexual Violence Elimination) and RUU PPRT (Domestic Workers Protection).

c) Youth

- Appropriate systems and structures for facilitating youth access to affordable credit for enterprise development.
- Determinants or drivers of youth engagement in crime or recruitment to extremism or radicalization.
- Determinants or drivers of youth engagement in alcoholism and drugs.
- Access to employment.

d) Elderly

- Access to affordable and contextual health care specific to the needs of elderly.
- Access to affordable and inclusive health insurance.
- Accommodative systems for facilitating mobility of elderly including transport systems and infrastructure.
- Increased and inclusive and efficient social protection especially funds transfers that covers more elderly.
- Availability of public homecare for neglected and needy elderly.

e) Indigenous groups and ethnic minorities

- Recognition and preservation of traditional land rights.
- Affirmative action in access to affordable basic and university/tertiary education.
- Recognition and promotion of identity through efficient registration of persons.

- Recognition, preservation and promotion of cultural identity as national heritage.
- Availability of basic road/ transport infrastructure.
- Targeted affirmative action on access to employment and national and provincial levels.

Annex II: Example of Learning Agenda (Innovate & Learn Grant)

The **examples** of learning agenda for innovation in Indonesia include but are not limited to:

- Cultural products are effective tools for empowerment and advocacy leading to actual policy changes in local, regional, and/or national level(s).
- Digital and information technology-based interventions are effective tools to monitor good governance in the context of pushing inclusive policy.
- Digital and information technology-based tools effectively foster public participation of marginalised group in the political sphere.
- Open data and transparent procurement have huge impact on social inclusion, particularly on the improvement of social services, i.e. education and health.
- Action research for indigenous people is the most participatory methodology on claiming their land rights.
- Knowledge dissemination for young people is more effective through audio-visual medium.